



1 THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Applicants: Fauver et al. Attorney Docket No. UNIV0130

3 Serial No.: 09/994,377 Group Art Unit: 2874

4 Filed: November 26, 2001 Examiner:

5 Title: MICRO-FABRICATED OPTICAL WAVEGUIDE FOR USE IN SCANNING
6 FIBER DISPLAYS AND SCANNED FIBER IMAGE ACQUISITION

7 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

8 Bellevue, Washington 98004

9 May 20, 2003

10 TO THE DIRECTOR OF THE PATENT AND TRADEMARK OFFICE:

11 Applicant is aware of the information listed in the attached form that may be material to the
12 prosecution of the above-identified patent application.

13 1. Copies of the listed patents, publications, and other information are enclosed for the
14 Examiner's use.

15 2. Copies of the listed patents, publications, and other information were previously cited by
16 or submitted to the U.S. Patent and Trademark Office in prior application Serial
No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. § 120.

17 3. A concise explanation of the relevance of document I.D. No. _____ (which is not in
18 the English language), as presently understood by the individual designated under 37
C.F.R. § 1.56(c) most knowledgeable about its content, is provided _____.

19 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed
20 within three months of the filing date of the national application, within three months of
21 the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international
application, or before the mailing date of a first Office Action on the merits.

22 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed
23 after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a
24 final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311,
and is accompanied by:

25 a. _____ a certification as specified in 37 C.F.R. § 1.97(e); or

26 b. _____ the fee set forth in 37 C.F.R. § 1.17(p). Check No. _____ in the amount of
\$ _____ is enclosed.

6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed after the mailing date of either:

- a. a final action under 37 C.F.R. § 1.113; or
- b. a notice of allowance under 37 C.F.R. § 1.311,

but before payment of the issue fee. The statement is accompanied by a certification as specified in 37 C.F.R. § 1.97(e), a petition requesting consideration of the information disclosure statement, and the petition fee set forth in 37 C.F.R. § 1.17(i)(1). Check No. in the amount of \$ is enclosed.

7. Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940. A copy of this sheet is enclosed.

Respectfully submitted,

Ron Anderson

Ronald M. Anderson
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 20, 2003.

Date: May 20, 2003

20, 2003.
Sharon Hall



SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT LISTING SHEET

Information Cited By Applicant(s) That May Be Material To The Prosecution Of The Subject Application

Applicants: Fauver et al. Attorney Docket No. UNIV0130

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U.S. PATENT DOCUMENTS

NONE CITED

RECEIVED
MAY 27 2003
U.S. PATENT AND TRADEMARK OFFICE
TC 2800 MAIL ROOM
TRANSLATION
D
Yes

<u>FOREIGN PATENT DOCUMENTS</u>						
<u>*Examiner Initial</u>	<u>ID</u>	<u>Document No.</u>	<u>Publication Date</u>	<u>Country</u>	<u>Class</u>	<u>Sub-Class</u>
	F1	1 142 529 A1	10/10/2001	Europe	A61B	1/00
	F2	2001174744A2	06/29/2001	Japan	G02B 26/10	G02B 23/24

OTHER INFORMATION

NONE CITED

Examiner's Signature

Date

*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RMA:ssa
5/20/03